

Chapter 2--ETHICS IN RESEARCH

Activity 2.1

INSTRUCTOR'S DIRECTIONS

How ethical is this study?

Concept: The research depicted here strikes most people as unseemly. However, that is not the basis on which we decide on the ethics of research. The question in an ethical consideration is whether the research poses physical or psychological risks to the participants.

The discussion based on the research by Humphreys should focus around questions of invasion of privacy and deception. In addition, it is important to remember that the research took place in the late 1960s, when people might have been arrested for engaging in the behaviors that Humphreys observed; as such, there was potential physical risk to participants if information about their behaviors became public.

Materials needed: The description of the research by Humphreys (below). [NOTE: The Handout 2.1 can be given to the students after an in-class discussion.]

Instructions: Ask students to read the passage below (The Tearoom Trade) and to identify the ethical issues associated with the project. Initially, the students should list the elements of the research that are troublesome. Then, in the subsequent discussion, the class can discuss whether the issues are so ethically troublesome that the research should not have been done. Ultimately, the discussion revolves around the cost-benefit analysis: what are the potential risks (costs) and what good comes from the research (benefit).

Discussion: Although this research is ethically troublesome, it is possible to produce viable counterarguments to virtually any argument about ethical issues. It can be a useful exercise for students to provide arguments as to why each potential ethical problem is troublesome, but also why it might not be. Students don't have to accept the arguments in their own judgments, but they should be aware that their own viewpoints are not the only valid ones.

Do the costs exceed the benefits? Analysis of responses to the interview revealed that "when the characteristics of the participants were compared with those of typical males from the same urban area, no striking differences in terms of occupations, marital status, socioeconomic characteristics, and the like were found. Aside from their participation in clandestine homosexual activity, there was little to distinguish these men from typical adult males" (Reynolds, 1982, p. 68).

References

- Humphreys, L. (1970). *Tearoom Trade: Impersonal Sex in Public Places*. Chicago: Aldine.
- Reynolds, P. D. (1982). *Ethics and Social Science Research*. Englewood Cliffs, NJ: Prentice-Hall.

Activity 2.1

How ethical is this study?

A researcher was interested in the men who participated in sexual activity in a public place, that is, their personal characteristics and the nature of the sexual activity. His first step was to engage in observation of such activity in a public restroom in a park within a large city; these places were known as "tearooms." The general pattern was for the men to drive to the park, enter the public restroom, and engage in the desired behavior.

The researcher often served as what was called the "watch queen," that is, a lookout to prevent individuals who might cause trouble from interrupting the sexual activity. In general, interruptions of the activity occurred due to the presence of local teenagers or police. This activity was technically illegal when the study was done (in the 1960s), so it would have been troublesome if the police arrived on the scene.

He gathered his information on 50 sex acts (mostly oral sex) involving over 100 men. Then he obtained personal information about these individuals. He copied down their license plate numbers, went to the police and, giving a false cover story, obtained names and addresses from the license plates, and subsequently interviewed the men many months later. He informed them that it was part of a marketing research project.

Questions

1. What are the ethical issues that need to be considered to see if this study could be regarded as ethical?
2. What alternatives were there to the methodology used by the researcher?
3. Should this study have been done?

Handout 2.1
Is this study ethical?

Ethical Issue	Possible student arguments supporting the contention that there are ethical problems.	Possible student arguments against the contention that there are ethical problems.
Invasion of privacy in the park	The men were engaging in sexual activity, a private act.	The men were engaging in sexual activity with anybody who showed up, implying that they really didn't have expectations of privacy.
Invasion of privacy in the home interview	The researcher was getting information under false pretenses.	The researcher was getting the information he said he was after; the participant didn't have to agree to answer any questions.
Deception in the park	The researcher claimed to be a lookout, but observed the sexual behavior.	The researcher did serve as a lookout and was actually arrested a few times in his role as lookout.
Deception in getting information from the police	The researcher lied to the police in order to get information about the men he observed.	The police showed no real interest in the reason for the request for information about the men. In addition, the police expect people to lie to them as part of the work they do.
<p>1. Physical risk if the person's behavior became public (e.g., losing a job, family problems, being arrested)</p> <p>2. Psychological risk if the person's behavior became public (e.g., embarrassment)</p>	Society was not very tolerant of homosexual behavior, so if the men's actions became public, they could suffer severely.	<p>1. The researcher kept information about the men and their activities in a safe deposit box in a different state so that the police would never be able to get it.</p> <p>2. The results were published so that nobody could be identified individually as having been involved.</p>
The participants did not give informed consent and were not debriefed.	The men's behaviors were surreptitiously monitored and they never learned that they had been observed.	The men were engaging in behavior in a public area, so they should not expect their behavior to be unobserved. Sometimes (rarely), researchers can omit debriefing if providing it would lead to more possible risk than not debriefing would.

Handout 2.2
Ten Points of the Nuremburg Code

Point	Comment
1. Research on humans absolutely requires informed consent.	You cannot do research on people who are not able to give voluntary, informed consent. This requires that they be sufficiently aware of their rights to be able to make a choice that is good for them. You are also not allowed to use undue influence or power you have over a person. The individual must know what risks might be involved.
2. The experiment must have the possibility of contributing to our body of knowledge.	You should not perform research that has no chance of being useful to society. This does not mean that an investigation has to produce major results, but the outcome should add to the accumulation of knowledge about human and nonhuman behavior.
3. Researchers should be informed about the topic they investigate to maximize the likelihood that the results will be useful.	Especially for biomedical research, scientists should design their research based on previous work that has been conducted using animals. In addition, the scientist must be competent enough to design a study whose results will justify the experimentation.
4. The experiment should avoid unnecessary physical and mental suffering.	Sometimes research by its nature involves discomfort of some kind (e.g., a study of sleep deprivation). Researchers should design their work to minimize the extent of the discomfort should it be necessary. Embarrassment and frustration are examples of mental suffering that might be associated with psychological research.
5. No experiment should be conducted if there is good reason to believe that death or serious injury will occur.	When an investigation involves high levels of potential risk, this restriction can be relaxed if the researchers serve as participants in this research.

<p>6. The degree of risk must be less than the potential gain from the research.</p>	<p>Sometimes research by its nature involves discomfort of some kind (e.g., a study of sleep deprivation). Researchers should design their work to minimize the extent of the discomfort should it be necessary. Embarrassment and frustration are examples of mental suffering that might be associated with psychological research.</p>
<p>7. Prior arrangements must be in place for responding to an emergency that occurs during a research project.</p>	<p>The investigators must make provisions for emergencies that they can reasonably foresee. Sometimes a participant may suffer harm because of an entirely unforeseen circumstance. In such a case, the researcher might not be seen as acting unethically. Points 2 and 3 relate to this—a researcher should be sufficiently well informed to know what risks are likely.</p>
<p>8. The investigator must have appropriate training to conduct the research.</p>	<p>Researchers have to know what they are doing. If a researcher fails to anticipate dangers that an expert would recognize in advance, that researcher might be judged as acting unethically. Researchers must also ensure that workers subordinate to them are qualified to carry out the tasks assigned to them.</p>
<p>9. Research participants must be free to terminate their involvement at any time.</p>	<p>When an individual has reached the point that he or she no longer feels comfortable participating in research, the person has the right to leave without penalty.</p>
<p>10. The experimenter must terminate a research project if he or she believes that continuing the study will lead to injury or death.</p>	<p>The investigator has to be aware of the dynamics of the research situation. If he or she recognizes that there is an elevated level of risk, the investigator must end the study.</p>

Handout 2.3

Ethical Principles of the American Psychological Association and Examples of Violations

Beneficence and Nonmaleficence	A psychologist would be in dangerous territory in conducting research in which he or she has a financial interest because that interest could cloud professional judgment to the detriment of the participant and others. Further, psychologists who are aware that they are experiencing mental health problems may be acting unethically with clients if their own mental health may lead to poor judgment.
Fidelity and Responsibility	A psychologist would violate ethical principles by engaging in dual relationships with patients. One of the most notable transgressions occurs when a therapist engages in sexual relations with a person while providing therapy to that individual. Also a psychologist who knows that a colleague is engaging in unethical behavior would himself or herself be acting unethically by not taking steps to prevent further such behavior.
Integrity	Psychologists who intentionally misrepresent their research results or who falsify data are engaging in ethical misconduct because they are not striving to maximize gain to the scientific and professional community, but rather are simply trying for personal gain. In addition, psychologists who knowingly use their knowledge to mislead others, such as in courtroom testimony, are engaging in unethical conduct. In this case, they are not using their professional expertise responsibly or contributing to the welfare of society in general.
Justice	A psychologist who is not trained in the use of a test like the Minnesota Multiphasic Personality Inventory but who uses it in his or her research or with clients might be engaging in unethical behavior because the validity of test interpretations may be low.
Respect for People's Rights and Dignity	Psychologists who violate the confidentiality of their research participants act unethically. This means that if you are doing research, you may not discuss with others how a particular participant responded during a testing session. (Such a discussion could be appropriate, however, if you discuss a research session with a colleague who is also working on that project and you need to resolve a methodological problem.)

Chapter 2--Ethics in Research

Homework 2.1

Ethics in Research

Purpose of the Homework: This homework assignment will help you understand the ethical issues associated with research. The scenario presented here involves research that would not be permitted today but that was theoretically defensible while, at the same time, being morally questionable. You will identify the specific ethical issues that are relevant to this research.

Objectives:

To explain ethical issues associated with this controversial research

To understand how an Institutional Review Board would go about evaluating the research

To develop arguments based on ethical regulations rather than simple intuitions

The Scenario: The theory of behaviorism dominated psychology for the first half of the 20th century. Basically, this theory postulated that all behavior was due to the effects of reinforcement and punishment. We learn to engage in behavior when we are rewarded for it and we suppress behavior when we are punished. In addition, any behavior that can be learned can be unlearned. Although most psychologists today would not label themselves behaviorists, nobody doubts the power and effectiveness of reward and punishment in shaping our behaviors.

During the height of behaviorism, a graduate student named Mary Tudor at the University of Iowa began to study children who stuttered. Her mentor, Prof. Wendell Johnson, had developed a hypothesis that stuttering resulted from children's somehow being reinforced for it; that is, when people called attention to stuttering, a child whose speech wasn't fluent would increase his or her stuttering.

So Tudor began a study of children living in an orphanage in which she identified a group of stutterers and a group of normal speaking children. She had a ready population because the children didn't have parents who could care for them, so they resided in the institution.

Under the guise of providing speech therapy, she created subgroups in which the children were either told that they had speech difficulties or that their speech was progressing very well. The result, very simply stated, was that children who stuttered and who were reminded of it continued to stutter whereas stutterers who were told that their speech was progressing well reduced the extent of their stuttering. When normally speaking children were told that their speech was fluent, they remained unchanged. On the other hand, normal speakers who were told that they were stutterers began to stutter. The stutterers were frequently socially isolated afterward.

When Tudor ended the experiment, there was no program to reverse the induced stuttering among children who were reinforced for stuttering, even though behavioral theory supports that idea that you could undo the stuttering by appropriate reinforcement techniques. In addition, the children were never told that they were participating in the research and only heard about it over half a century later.

Incidentally, Tudor's mentor, Wendell Johnson, never mentioned this research in any of his own research or writings. This research occurred during the beginning of the second world war; Johnson probably disavowed the study because people likened it to the behavior of the Nazis.

Questions:

1. Based on today's standards, what ethical principles were violated in this research?
2. Pretend that you were a behaviorist who firmly believed that behavior is controlled by reinforcement and punishment. Develop an argument from the perspective of a behaviorist in 1939 (when the study took place) in which you justify a research study like this as not being unethical. That is, within the perspective of behaviorism, how could you set up a study like this that would, in the end, be ethical?
3. As a member of an Institutional Review Board, would you approve this study? What ethical issues are important in this situation? Discuss the research with respect to issues of physical and psychological risk.

Chapter 2--Ethics in Research

Homework 2.1 Ethics in Research

ANSWER KEY

1. Based on today's standards, what ethical principles were violated in this research?
 - a. There was a problem with both physical and psychological harm. Regarding physical harm, the children were turned into stutterers. Psychologically, they suffered the problems of social isolation that stutterers frequently experience.
 - b. By today's standards, Tudor showed little responsibility for patients in the research, respect for people's rights, or concern for their welfare.
 - c. You can argue that Tudor invaded their privacy. She certainly did not get informed consent (either from the children or from parents or guardians). According to current law, participants must be free to terminate their participation at any time; there was no provision for this (especially because they didn't know they were involved in research). Likewise, there was no debriefing.
 - d. One of the most significant lapses is that there was no compensatory followup to rectify any problems that developed.
 - e. There was also a great deal of deception. The children thought they were receiving speech therapy.

2. Pretend that you were a behaviorist who firmly believed that behavior is controlled by reinforcement and punishment. Develop an argument from the perspective of a behaviorist in 1939 (when the study took place) in which you justify a research study like this as not being unethical. That is, within the perspective of behaviorism, how could you set up a study like this that would, in the end, be ethical?

According to behaviorists, if behavior can be learned, it can be unlearned. So you could create an ethical study in which you induced stuttering through reinforcement, then eliminated it through the reinforcement of non-stuttering behavior. At this point, there was no Nuremberg Code and there were no laws about treatment of research subjects, so the question of the ethics associated informed consent (and parental approval), debriefing, etc. are debatable. At that point, researchers were typically not sensitive to the desirability of such behavior.

3. 3. As a member of an Institutional Review Board, would you approve this study? What ethical issues are important in this situation? Do not rely on intuition or subjective judgments. Discuss the research with respect to issues of physical and psychological risk.

This question calls for students to make a judgment based on ethical principles.

Dyer, J. (2001, June 11). Theory improved treatment and understanding of stuttering. San Jose Mercury News, <http://www-psych.stanford.edu/~bigopp/stutter.html>, retrieved June 18, 2003.

Chapter 2: Ethics in Research

MULTIPLE CHOICE QUESTIONS

Unethical Research Practices—Past and Present

1. An examination of ethical issues in research in the 20th century reveals that
 - a. the Nazi atrocities in World War II created the only major ethical problems in research.
 - b. there have been few notable problems in the last 20 years.
 - c. researchers in the United States have engaged in research practices that seriously violated ethical principles.
 - d. psychological research has been among the most consistent offenders regarding ethical problems.

Answer c

2. Researchers at the Tuskegee Institute violated ethical principles when they
 - a. served food containing radioactive substances to developmentally disabled children.
 - b. withheld treatment for syphilis over decades from black patients.
 - c. used chemicals to sterilize women involuntarily.
 - d. withheld antipsychotic drugs from schizophrenic patients in a research project.

Answer b

3. Researchers at the University of Cincinnati wanted to investigate how much radiation military personnel could be exposed to and still function. In order to study the effects of radiation, they
 - a. gave food with radioactive substances to developmentally disabled children.
 - b. withheld treatment from patients who had been accidentally exposed to radiation.
 - c. exposed psychiatric patients to radiation without informed consent.
 - d. subjected cancer patients to whole-body radiation without informed consent.

Answer a

4. Researchers at the Massachusetts Institute of Technology engaged in ethically troublesome research on exposure to radiation in the 1950s when they
 - a. exposed syphilis patients to radiation as part of normal treatment.
 - b. used whole-body radiation on terminally ill cancer patients without giving informed consent.
 - c. sterilized patients using radiation rather than using other, accepted methods.
 - d. fed food with radioactive substances to children living in a facility for the developmentally disabled.

Answer d

5. In recent psychological research that has received criticism on ethical grounds, the authors (Kagan and Liston, 2002)
- failed to cite research by other psychologists that was important and relevant to the development of their ideas.
 - claimed to have completed a study but they did not actually carry it out.
 - subjected participants to high levels of pain without first obtaining informed consent.
 - published a figure that originally came from the research of other psychologists that had appeared in a different journal

Answer a

6. Psychological research that is ethically very troublesome
- is fairly rare, with the most frequent examples having occurred several decades ago.
 - was first identified a century ago and continues to this day on a frequent basis.
 - involves falsifying or fabricating data in up to half of the research associated with competitive federal grants.
 - led to the development of the Nuremburg Code that lists the rights of participants in research.

Answer a

7. According to research by the U.S. Office of Research Integrity, the most frequently occurring ethical offenses involved
- departing from protocols for randomly assigning participants to groups.
 - falsifying or fabricating data.
 - plagiarizing by claiming that another researcher's data were the offender's own data.
 - completely fabricating (i.e., making up) details of studies that were never actually conducted.

Answer b

8. According to research by the Office of Research Integrity on ethics in research,
- there is an extremely low level of ethical violations in research in the United States.
 - the single largest category of violations is plagiarism of others' work.
 - when researchers act unethically, it is most likely to involve either making up or falsifying data.
 - almost every researcher knows of cases of ethical violations by others that go unreported.

Answer c

9. One of the main problems associated with research with psychiatric populations is that

- a. they are so different from one another that it is ethically troublesome to put them in treatments that are standardized rather than individualized.
- b. they may not be able to give truly informed consent because of their psychiatric problems.
- c. such research has to involve placebo groups for whom treatment is withheld.
- d. outside, pharmaceutical companies may provide funding.

Answer b

10. Pharmaceutical companies have come under criticism on ethical grounds by researchers because the companies

- a. have made so much money researching and producing drugs for the very ill.
- b. have waited much too long to release drugs that would benefit people who have no other effective drugs.
- c. they have hired medical personnel to supervise drug research after those people had been accused of serious ethical violations in research and treating patients.
- d. regularly fail to receive informed consent by the patients they study.

Answer c

Ethical Guidelines Created by the American Psychological Association

11. The ethical principles developed by the American Psychological Association contain guidelines for achieving the highest ideals of psychology. These principles are called

- a. aspirational goals.
- b. ethical standards.
- c. enforceable rules.
- d. principles of beneficence.

Answer a

12. The enforceable rules of conduct associated with the ethical principles developed by the American Psychological Association are

- a. aspirational goals.
- b. principles of responsibility.
- c. ethical standards.
- d. ethico-legal principles.

Answer c

13. Which of the General Principles of the American Psychological Association relates to maximizing the positive outcomes and minimizing negative outcomes of work?

- a. fidelity and responsibility
- b. integrity
- c. respect for people's rights and dignity
- d. beneficence and nonmaleficence

Answer d

14. If a psychologist has a conflict of interest either in research or in clinical practice when acting as a professional, the General Ethical Principle that relates to the psychologist's activity is
- fidelity and responsibility.
 - integrity.
 - justice.
 - beneficence and nonmaleficence.

Answer d

15. Which of the General Principles of the American Psychological Association relates to acting with professionalism in dealing with others?
- fidelity and responsibility
 - integrity
 - beneficence and nonmaleficence
 - respect for people's rights and dignity

Answer a

16. A psychologist who is providing therapy for a person should not develop a close friendship with the client because such dual relationships can compromise the success of the therapy. This problem relates to which General Ethical Principle of the American Psychological Association?
- beneficence and nonmaleficence
 - respect for people's rights and dignity
 - justice
 - fidelity and responsibility

Answer d

17. Which of the General Principles of the American Psychological Association relates to promoting one's self and one's work accurately?
- fidelity and responsibility
 - integrity
 - beneficence and nonmaleficence
 - justice

Answer b

18. If a psychologist testified in a courtroom about research that he or she conducted, exaggerating the importance and validity level of the results would be in violation of which General Ethical Principle of the American Psychological Association?
- justice.
 - respect for people's rights
 - fidelity and responsibility
 - integrity

Answer d

19. Which of the General Principles of the American Psychological Association relates to recognizing our biases and the limitations to our expertise in psychology?

- a. fidelity and responsibility
- b. integrity
- c. justice
- d. respect for people's rights and dignity

Answer c

20. If a psychologist uses a research or clinical technique without adequate training and expertise in that technique, he or she may be in violation of which of the General Ethical Principles of the American Psychological Association?

- a. fidelity and responsibility
- b. integrity
- c. beneficence and nonmaleficence
- d. justice

Answer d

21. Which of the General Ethical Principles of the American Psychological Association is involved if a psychologist violates the confidentiality of a research participant or clinical patient?

- a. integrity
- b. respect for people's rights and dignity
- c. beneficence and nonmaleficence
- d. justice

Answer b

22. In resolving ethical situations involving legal issues and confidentiality, a psychologist

- a. can never reveal what a client has revealed in a therapeutic session.
- b. may appropriately defer to legal authorities, even if involves violating confidentiality.
- c. is obligated to keep information confidential if revealing it would cause embarrassment.
- d. is allowed to reveal confidential information only when a client gives written permission.

Answer b

23. One of the problems of engaging in professional behavior in an area beyond your domain of expertise is that

- a. your use of a test that on which you have not been trained to use and interpret may expose a client to risk.
- b. you may inadvertently exploit your position of knowledge to gain improper advantage over another person.
- c. you are not able to keep complete documentation and records appropriately.

- d. you are likely to violate confidentiality because you are not familiar with the details of interpreting your findings.

Answer a

24. One of the problems with using deception in research is that
- a. it seldom leads to valid data because participants do not know what the study is really about.
 - b. it violates the ethical standards developed by the American Psychological Association.
 - c. it may make it difficult for the participant to know enough to give informed consent about taking part in the study.
 - d. participants almost always respond negatively after learning that a study involved deception.

Answer c

25. The final segment of a research session involves
- a. discussing the data with the research participant so the participants understand the reasons for doing the study
 - b. clearing up any deception used and eliminating potential sources of negative feelings among participants.
 - c. making sure that participants have given informed consent.
 - d. reviewing the basic points of the Nuremburg Code for the participants.

Answer b

26. If you have deceived participants during the course of a study, you need to debrief them. When you tell them about the deception, you are engaging in
- a. dehoaxing.
 - b. desensitization.
 - c. ethical standards.
 - d. informed consent.

Answer a

27. If participants might leave an experimental session feeling bad about their performance, you need to eliminate the potential sources of distress before they leave. This process is called
- a. dehoaxing.
 - b. desensitization.
 - c. compensatory follow-up.
 - d. informed consent.

Answer b

28. The process of dehoaxing and desensitization is called
- a. informed consent.

- b. removal of the cover story.
- c. debriefing.
- d. compensatory follow-up.

Answer c

29. When participants in Stanley Milgram's obedience studies left the research session, they had been told that they had been deceived about the nature of the study. Because the participants might have experienced potentially serious distress after the study, Milgram arranged for visits with a psychiatrist. This process was called

- a. dehoaxing.
- b. desensitization.
- c. compensatory follow-up.
- d. informed consent.

Answer c

30. After Milgram's sessions in his obedience studies, he introduced the confederate who was supposedly getting shocked to the participant. In an attempt to make the participants feel positive about participating, the confederate was very friendly, smiled, and told the participant that there were no hard feelings or ill effects. This part of the study would be referred to as

- a. informed consent.
- b. concern for welfare.
- c. dehoaxing.
- d. desensitization.

Answer d

Legal Requirements and Ethics in Research

31. The Nuremberg Code of ethics in human research arose because of the

- a. failure to provide medical treatment in the research on syphilis done at the Tuskegee Institute.
- b. addition of radioactive substances in children's food at a home for the developmentally disabled.
- c. Milgram's obedience studies.
- d. Nazi research in the Second World War.

Answer d

32. Research with people is assessed to make sure it doesn't violate ethical guidelines. The evaluation is performed by

- a. the American Psychological Association.
- b. the American Psychiatric Association.
- c. an Institutional Review Board in the college or university where the research is done.

- d. the investigator's research team.

Answer c

33. Research may not require approval by an Institutional Review Board if
- a. it occurs in a commonly accepted educational setting and assesses instructional strategies.
 - b. it involves only passive deception.
 - c. a similar study has already been done elsewhere with no ethical problems.
 - d. it involves studies of children.

Answer a

34. Research may not require approval by an Institutional Review Board if
- a. participants can be identified for possible compensatory followup.
 - b. it involves observation of public behaviors.
 - c. the participants are friends or acquaintances of the researcher.
 - d. it involves people who voluntarily disclose illegal behaviors.

Answer b

35. Research on how people respond to informed consent forms has revealed that
- a. many Americans don't read well enough to understand what they are reading on the informed consent forms.
 - b. many people do not bother to read the informed consent forms because they trust the researchers.
 - c. the informed consent forms often omit information important for people to understand the research
 - d. people are often upset after learning what they will have to undergo if they participate in the research.

Answer a

36. Research on the informed consent process has revealed that
- a. informed consent forms have become easier to understand after legal regulations were imposed.
 - b. most people do not bother to read the forms; they just take them home and forget about them.
 - c. researchers who perceive Institutional Review Boards to be unjust often try to deceive the review board.
 - d. the research process does not really benefit from giving participants the opportunity to review the nature of studies in which they participate.

Answer c

The Importance of Social Context in Deciding on Ethics in Research

37. The criticism of Milgram's obedience research by psychologist Diana Baumrind (1964) included the claim that the research
- a. did not include compensatory follow-up
 - b. should have been preceded by an attempt to estimate how many participants would be willing to give high levels of shock
 - c. did not include either dehoaxing or desensitization.
 - d. had more costs than benefits in terms of participant distress that was involved compared to knowledge that was gained.

Answer d

38. The criticism of Milgram's obedience research by psychologist Diana Baumrind (1964) included the claim that the research
- a. participants did not actually shock the learner, so the results are not valid.
 - b. did not include either dehoaxing or desensitization.
 - c. would cause the participants not to trust authority figures in the future.
 - d. would have considerable short-term negative effects, but minimal long-term effects.

Answer c

39. Milgram defended the ethics of his research by noting that
- a. he sought expert advice from psychiatrists on possible participant behaviors before he started the research.
 - b. his participants delivered only mild shocks to the learner in the study.
 - c. compensatory follow-up showed that after two years, participants were experiencing negative effects but that the psychiatric treatment eliminated these effects.
 - d. the dependent attitude of the participants would protect them from any negative effects of taking part in the study.

Answer a

40. Milgram's obedience research was important at the time he conducted it because
- a. behavioral theories of the time predicted one outcome but Freudian theory predicted very different outcomes.
 - b. the Nazi atrocities of World War II that were based on blind obedience was still fresh in people's memories.
 - c. Milgram's studies were among the first to study the effect of obedience on racist behaviors.
 - d. earlier studies of obedience had erroneously predicted how people would behave under stressful conditions.

Answer b

41. Milgram defended his research by point out that he

- a. did not intend to harm anybody even though he foresaw the problems that occurred.
- b. engaged both in debriefing and in dehoaxing of participants after the study ended.
- c. paid participants well enough to overcome any discomfort they had experienced.
- d. the research was so important that it was acceptable, even if a few people were harmed.

Answer b

What You Need to Do if Your Research Involves Deception

42. Studies about participants' reactions to being deceived in research have revealed that
- a. most participants are offended when they learned that they have been lied to.
 - b. deception leads participants to be skeptical or suspicious about psychological research.
 - c. participants regard the science and practice of psychology positively, even after learning that they have been deceived.
 - d. they agree that ethical guidelines should prohibit deception in psychological research.

Answer c

43. If you are conducting research and decide to withhold some information about the nature of the study, you are using
- a. a masked cover story.
 - b. passive deception.
 - c. natural simulation.
 - d. role playing.

Answer b

44. If you are conducting research and decide to give a false cover story so a participant doesn't know the true nature of the study, you are using
- a. technical deception.
 - b. active deception.
 - c. passive deception.
 - d. implicit deception.

Answer b

45. When you decide to tell participants something false about a research session in order to mislead them, you are using
- a. naturalistic observation.
 - b. role playing.
 - c. active deception.
 - d. dehoaxing.

Answer c

46. Researchers use deception because
- they want to see how much participants are willing to believe before questioning the experimenter.
 - it is often easier than using dehoaxing.
 - it counteracts the effects of cover stories.
 - it induces participants to act naturally.

Answer d

47. Researchers have found that when people are asked not to reveal the deception used in a study,
- the participants usually comply with the request.
 - the participants reveal the deception to friends more than half the time.
 - the participants are actually more likely to reveal the deception than if no request is made.
 - the participants often become skeptical of the research.

Answer a

Ethical Issues in Special Circumstances

48. One of the ethical issues associated with internet research involves
- reaching a diverse set of participants.
 - making sure that participants can leave a web site and return to it later to complete the study.
 - the fact that different web browsers can display a given web page in very different ways.
 - making sure that data transmitted over the web are not intercepted in such a way that confidentiality and anonymity are violated.

Answer d

49. If volunteers complete an internet-based survey on a sensitive and potentially distressing topic, one of the ethical considerations that is hard to deal with is
- debriefing the participants after they complete their responses.
 - providing any necessary compensatory follow-up.
 - reaching people who might not take distressing topics seriously.
 - informing the participants that they can leave the study at any time.

Answer b

50. If researchers provide negative, false feedback to participants, the performance of those participants may worsen. According to research, subsequent debriefing
- leads to improved subsequent performance compared to participants who are not debriefed.
 - often results in anger on the part of the deceived participants.
 - makes no difference to the participants in subsequent behavior.

- d. leads to later frustration on the part of the participants.

Answer a

51. Researchers who have studied the results of debriefing have discovered that
- a. debriefing is not very effective in counteracting the effects of deception.
 - b. researchers need to spend a considerable amount of time in the dehoaxing part of debriefing
 - c. desensitization is more effective than dehoaxing.
 - d. behaviors that are affected by deception can be reversed with thorough debriefing.

Answer d

52. According to the ethics code of the Council for Marketing and Opinion Research,
- a. respondents does not need to answer all questions on a survey and can skip any that they don't want to answer.
 - b. a surveyor does not need to give the name of the person or group sponsoring the research, even if the respondent requests it.
 - c. respondents must be informed if their answers are being recorded, but they don't have to be told what the responses are going to be used for.
 - d. a respondent's anonymity and confidentiality have to be protected only until the survey project has been completed.

Answer a

53. Psychologists have noted that one of the issues associated with the interpreting the results of cross-cultural research is that
- a. interpretations may lead to stereotyping of behaviors in cultural groups different from that of the researcher.
 - b. researchers have regularly violated the aspirational goals set forth in the ethical principles of the American Psychological Association.
 - c. research is highly standardized, so psychologists have found that competence in cross-cultural issues is seldom a problem.
 - d. most of the research findings in psychology turn out to be applicable across a wide range of cultures.

Answer a

54. Some psychologists have criticized research with animals on ethical grounds. They have claimed that
- a. animal research cannot be used to understand or ultimately provide the basis for control of human behavior.
 - b. psychological research with animals has doubled about every ten years.
 - c. keeping animals in captivity is unethical in and of itself.
 - d. moral arguments are not a sufficient basis to justify ending animal research.

Answer c

55. The use of animals in psychological research
- is accepted by few contemporary psychologists.
 - has been on the rise for the past several years in psychology.
 - has decreased in psychology, with many psychology departments eliminating their animal facilities.
 - is accepted by faculty but usually not by students.

Answer c

56. When psychology students evaluate research with animals, students
- usually have very negative attitudes about the use of cats, dogs, and rats.
 - are very similar to their faculty mentors in their attitudes toward such research.
 - support such research for their own studies, but not for the research of others.
 - are very likely to agree that animals are necessary for their own research.

Answer b

57. When two psychologists (Coile & Miller, 1984) studied the claims of animal rights activities about the torture of animals in psychological research, the psychologists discovered that
- there was little use of electric shocks, but consistent use of near starvation diets.
 - psychologists often used high levels of painful stimuli to motivate animal behavior.
 - there was a low level of smashing bones and amputations to study psychological responses.
 - over a five-year period, they could find no studies using intense electric shocks, or severe food or water deprivation.

Answer d

Controversy: Should Researchers Deceive Participants?

58. When participants complete a task for a purpose of which they are unaware, the researchers are using
- technical deception.
 - implicit deception.
 - role deception.
 - naturalistic deception.

Answer b

59. When researchers misrepresent the use of equipment in a study, they are using
- a cover story.
 - simple deception.
 - technical deception.
 - role deception.

Answer c

60. When researchers misrepresent use a confederate in research and don't inform participants, the researchers are using
- simple deception.
 - technical deception.
 - implicit deception.
 - role deception.
- Answer d*

61. Participants are often uncomfortable when they learn that a research study has involved _____ deception.
- role deception.
 - passive deception.
 - implicit deception.
 - active deception.
- Answer a*

62. Fisher (2005) suggested that we need to consider deception in several different ways. She said that considerations of deception should involve
- telling people in advance that they would be deceived.
 - making sure that people have enough information, even with deception, to make an informed decision about participating.
 - debriefing people after a study but not telling them about deception because it usually leads to frustration and anger n the part of the person being deceived.
 - removing both active and passive deception when researchers plan their experiments.
- Answer b*

ESSAY ITEMS

Short Answer Items (*Starred items appear as study questions in the textbook.)

Unethical Research Practices—Past and Present

63. Identify and describe two examples of unethical biomedical research that has taken place in the United States.
- Suggested points:
- the Tuskegee syphilis study that left men untreated even after effective treatments had been developed
 - University of Cincinnati researchers exposed terminally ill cancer patients to whole body radiation in research designed to learn how military personnel exposed to radiation might be affected

- c. researchers at MIT, Harvard Medical School, Massachusetts General Hospital, and Boston University School of Medicine administered radioactive substances to retarded children without informing either the parents or the children
- d. researchers at the Duke Medical Center failed to study adequately the risks associated with simulations of behaviors at 30,000 feet and failed to provide the participant with appropriate informed consent
- e. physicians recruited patients for participation in research of diseases of the prostate gland; the physicians were paid by a corporation for recruiting some participants who did not have prostate disease.

Ethical Guidelines Created by the American Psychological Association

64. What is the difference between the aspirational goals and the ethical standards in research developed by the American Psychological Association?

Suggested points:

The aspirational goals are statements designed to guide psychologists toward the highest ethical levels in their professional work. The ethical standards are enforceable rules on ethics that, when violated, can lead to penalties for the person violating the standards.

65. Identify the five general principles regarding ethical conduct and what behaviors they pertain to.

Beneficence and nonmaleficence	Promoting the welfare of people and avoiding harming them.
Fidelity and responsibility	Acting so that the discipline of psychology benefits the community, especially people with whom psychologists interact.
Integrity	Promoting honest and truthful use of psychology in applications in society.
Justice	Recognizing the implications of one's actions and striving to make the best professional judgments possible.
Respect for people's rights and dignity	Avoiding biases and inappropriate assumptions about people and their behavior.

66. What are the eight categories of enforceable rules defined in the American Psychological Association's ethical standards?

Suggested points:

- a. general standards

- b. evaluation, assessment, or intervention
- c. advertising and other public statements
- d. therapy
- e. privacy and confidentiality
- f. teaching, training supervision, research, and publishing
- g. forensic activities
- h. resolving ethical issues

Ethical Standards as They Affect You

67. Why are the ethical principles associated with “Boundaries of Competence” relevant to students conducting their own research? Why are the students’ professors also affected?

Suggested points:

Students should engage in research for which they are adequately trained; if lack of training increases the risk of physical or psychological harm to participants, students should not act as researchers. This point is relevant to the students’ research advisor because the advisor is ultimately responsible for the behavior of the student.

68. What points to researchers need to consider if they intend to use deception in their research?

Suggested points:

Deception is tolerable only if there is no feasible alternative and if it will not expose the participant to physical or psychological harm, including embarrassment or other discomfort. If deception is necessary, the researcher must debrief and dehoax the participant. In the end, the researcher must make sure that the participant is not negatively affected at the conclusion of the research setting. If there is any doubt, compensatory follow-up is required.

Legal Requirements and Ethics in Research

69. Briefly describe why the world felt that the Nuremburg Code was necessary after World War II.

Suggested points:

During the war, the Nazis engaged in atrocious research, forcing people to participate, ignoring all considerations of respect and dignity. After the war, countries sought to pass rules that would provide for the safety of future research participants.

70. Describe how different points in the Nuremburg Code relate the degree of potential risk associated with the value of the results of an experiment.

Suggested points:

The Nuremberg Code stipulates that research must contribute to existing knowledge (Point 2); further, no research should be conducted if it will not produce results that are useful within a discipline (Point 3). If experimenters notice that a participant is at risk, they must terminate the research immediately (Point 10). Given that research has merit, any risks associated with it must be less than the potential gain from doing the study (Point 6).

71. What types of research can be exempt from Institutional Review Board (IRB) consideration, according to U.S. federal law?

Suggested points:

Research conducted in established or commonly accepted educational settings, involving normal educational practices can be exempt from approval, such as the effects of different instructional strategies.

Research involving the use of educational tests, survey procedures, interview procedures or observation of public behavior. (Surveys and interviews on sensitive or controversial topics may require IRB approval, though.)

In addition, research involving public officials or political candidates can be exempt from IRB approval.

Research involving the collection or study of existing, publicly available data, documents, records, pathological specimens, or diagnostic specimens is exempt if the personal identity of those providing the data is protected.

The Importance of Social Context in Deciding on Ethics in Research

72. What criticisms did Diana Baumrind make of Stanley Milgram's obedience research? How did Milgram respond to them?

Suggested points:

Baumrind claimed that the participants were unduly influenced by the experimenter, an authority figure, that they were really not volunteers; there was too much coercion. She also maintained that Milgram did not consider the long-term well being of the participants who experienced considerable distress. She also speculated that the participants would not trust authority figures after having been deceived so. She also claimed that the experimental participation could affect participants' self-image. Finally, she stated that she thought the debriefing and dehoaxing process would not have relieved the stress.

Milgram countered that he had gone to great lengths in advance to generate valid predictions about how participants would respond and was acting in good faith to protect their well-being; he also arranged for psychiatric followup to ensure that participants' welfare was protected.

73. In terms of social context, discuss why Milgram's obedience research might have been approved by an IRB when he did the research, but the IRB might be much less likely to approve it now.

Suggested points:

Milgram's research occurred not long after World War II, when people were still astonished that the Nazis could act as they did, with blind obedience. In addition, the scare of communism caused many people to believe that communism in America would make people blindly obedient. Thus, the question of obedience was a critical social issue.

Currently, we are less worried about the question of blind obedience in modern society. This issue has been replaced by other issues. Thus the cost of doing Milgram's research (distress experienced by participants, etc.) would not be offset by the benefits (increased knowledge). Thus, a current IRB might consider studies of obedience not to be sufficiently pressing to warrant stressing participants the way Milgram did.

CONTROVERSY: Should Researchers Deceive Participants?

74. What are implicit deception, technical deception, and role deception? According to research, how do participants feel about them?

Suggested points:

Implicit deception involves keeping participants unaware of the actual reason for the task they are engaging in. Technical deception relates to misrepresentation as to how equipment is being used. Role deception pertains to false statements about the role of other presumed participants in a study.

According to research by Fisher and Fyrberg, most participants (about 90 percent) felt that implicit deception was not a problem. More participants were bothered by technical and role deception, but the majority (70 percent) still thought that deception was not troublesome.

What You Need to Do If Your Research Involves Deception

75. What arguments do opponents of deception in research raise in their criticisms of deception?

Suggested points:

- a. deception is immoral
- b. when participants are deceived, they do not know the nature of the study, so they cannot give truly informed consent
- c. people will become suspicious or develop negative attitudes toward psychology

76. In research involving deception, why do researchers engage in dehoaxing and desensitization?

Suggested points:

Dehoaxing involves telling participants how they were deceived and why it was necessary; further, they learn the purpose of the study. Desensitization pertains to

eliminating any possible negative feelings by the participants so they leave in a positive state of mind.

77. Sometimes researchers do not debrief participants immediately; instead, the debriefing takes place after the experiment is entirely complete. What are the advantages and disadvantages of this approach?

Suggested points:

Delayed debriefing means that participants will not be able to discuss the study with other participants who would then not be naive; these subsequent participants could alter their behavior in light of what they know.

A disadvantage of delayed debriefing is that any negative effects of participation may persist, increasing the risk of physical or psychological harm. In addition, some participants may never learn of deception or of the purpose of a study because they fail to return for debriefing or do not pay attention to information sent by the researcher.

78. Are participants likely to disclose important elements of research projects to others?

Suggested points:

Research has produced mixed results regarding the likelihood that participants will inform others of the research. Some research suggests that participants will talk about the study to others, but other research suggests that, if the experimenter requests people not to talk to others about the research, those participants respect the experimenter's request.

Ethics and Web-Based Research

79. What ethical issues arise in considering participants' rights when they engage in research on the web?

Suggested points:

- a. confidentiality and anonymity—there must be a guarantee that nobody but the researchers will be able to see the data (confidentiality) or to find out who participated (confidentiality). Security on the internet isn't guaranteed
- b. informed consent—although a person could stop filling out a survey at any time, there is no guarantee that a person will feel that he or she can stop
- c. debriefing—internet research may make debriefing information available, but participants may not make use of it
- d. compensatory follow-up—if there are any negative effects of participation, the researcher may never know.
- e. theft of ideas or plagiarism—unscrupulous researchers may steal others' ideas and claim them for their own; because of the public nature of the internet, the issues could become cloudy

Ethics and Research with Animals

80. How do psychologists, psychology students, and the general public typically regard the use of animals in research?

Suggested points:

According to Plous (1996a, b) a sizeable majority of psychologists (over 85%) consider research with animals acceptable when it involves naturalistic observation. A smaller majority (over 60%) thinks research with confined, laboratory animals is appropriate. A third or less think that research involving pain or death is worthwhile. Undergraduate psychology students are highly similar to their professors. Among the general public, there is a high level of support for research involving some animals (i.e., rats) but not for others (i.e., dogs).

81. When people oppose the use of animal research, what arguments do they produce?

Suggested points:

Some people argue from a practical standpoint, saying that we don't learn very much about people from studying animals, so keeping animals confined to laboratories reduces the quality of the animals' lives and doesn't produce useful research results.

Other people argue from a moral standpoint, maintaining that we don't have the right to keep animals captive or to treat them inhumanely.

82. What counterarguments do proponents of animal research make in justifying their work?

Suggested points:

Coile and Miller (1984) considered published research to investigate the claims of opponents to animal research and found that the claims of exposure to intense pain, starvation, and mutilation were not valid and were essentially nonexistent in psychological research.

In addition, Coile and Miller pointed out that experimental animals have produced useful treatments for such maladies as cancer and AIDS, and have helped us understand some aspects of the development of depression.

83. Why is informed consent such a difficult issue in psychiatric research?

Suggested points:

Psychiatric patients may not be in appropriate condition to understand the nature of the research they are going to participate in, so they can't meaningfully give informed consent. In addition, their legal guardians may not be able to make the best decision on behalf of the patient.